

# Space-efficient construction variants of dynamic programming

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## Abstract

Many dynamic programming algorithms that solve a decision problem can be modified to algorithms that solve the construction variant of the problem by additional bookkeeping and going backwards through stored answers to subproblems. It is also well known that for many dynamic programming algorithms, one can save memory space by throwing away tables of information that is no longer needed, thus reusing the memory. Somewhat surprisingly, the observation that these two modifications cannot be combined is frequently not made. In this paper we consider the case of dynamic programming algorithms on graphs of bounded treewidth. We give algorithms to solve the construction variants of such problems that use only twice the amount of memory space of the decision versions, with only a logarithmic factor increase in running time. Using the concept of strong directed treewidth we then discuss how these algorithms can be applied to dynamic programming in general.

**Keywords:** Algorithms and data structures; dynamic programming; memory use of algorithms; treewidth.

## 1 Introduction

Dynamic Programming (DP) is one of the most common algorithmic techniques. It is well known that many dynamic programming algorithms that solve a decision problem can be modified to one that solves the construction variant of the problem by additional bookkeeping and going backwards through stored answers to subproblems. It is also well known that for many dynamic programming algorithms, one can save memory space by throwing away tables of information that is no longer needed, thus reusing the memory. Somewhat surprisingly, the observation that these two modifications cannot be combined is frequently not made: when the ‘textbook’ modification to save memory is made to a dynamic programming algorithm, the information to construct solutions is deleted, and the ‘textbook’ modification to obtain an algorithm for the construction problem cannot be applied.

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When the data for an algorithm do not fit into the main memory, but must be written to or obtained from secondary memory (e.g., a hard disk), then this has a severe impact on the time spent by the algorithm. Modern computers have large amounts of memory. Still, there are cases where the use of memory indeed still is an issue. For instance, if we allow a dynamic programming algorithm to run for several days, then the borderline between instances that can and cannot be handled often is determined by whether the data for the program fit into main memory. This happens for example for algorithms that use dynamic programming on graphs of bounded treewidth. These observations were the starting point for our investigations.

In this paper, we view DP as an algorithmic method applicable to a problem whose optimal solution for an input of size  $n$  can be found by combining optimal solutions to various subproblems. We associate with such a DP algorithm a directed acyclic graph  $D$  that will be central in our discussion. Each relevant subproblem is a node  $s$  of  $D$ , and represents a storage location for the solution value to this subproblem, which is typically a boolean value for a decision problem or a positive integer for an optimization problem. The solution value  $v(s)$  stored at  $s$  is computed, as specified by the DP algorithm, by  $v(s) := f(v(s_1), v(s_2), \dots, v(s_k))$ , for some specified function  $f$  and subproblems  $s_1, s_2, \dots, s_k$ . If the function  $f$  is a simple optimization over values of the  $k$  arguments, we have a case of serial DP, with a single optimal subproblem yielding the optimal solution at  $s$ . In other cases the function  $f$  is a more complicated optimization, such as over sums of certain pairs of argument values, which would give an example of non-serial DP. The digraph  $D$  will have a directed edge  $s_i s$  for each  $1 \leq i \leq k$  representing the fact that the value stored at  $s_i$  is needed to compute the value stored at  $s$ . In a DP algorithm the resulting digraph  $D$  should have no directed cycles, *i.e.* it should be a dag, and it should have only a single sink, representing the full problem instance. In many formulations of DP the sink appears as a last maximization or minimization step over all entries in a certain range.

For the optimization (and decision) version of the problem, where we are simply asking for the solution value for the overall problem, we simply return  $v(t)$ , the value stored in the sink  $t$  of  $D$ . We define the space usage of this version of DP to be the maximum number of subproblem solution values kept in memory in course of the algorithm. The solution value to a subproblem  $s_i$  must therefore remain in memory until solutions to all subproblems  $s$  with an edge  $s_i s$  have been computed. To arrive at the optimum space usage for a given DP algorithm on an input giving a dag  $D$  we thus define  $Space_{opt}(D)$  as the minimization of space usage over all topological sorts of  $D$ . Since we throw out the solution to a subproblem from memory as soon as allowed, the maximum number of storage locations, *i.e.* the space usage, for a particular topological sort  $v_1, v_2, \dots, v_m$  is the maximum over all  $1 \leq i \leq m$  of  $|\{v_j : j < i \wedge \exists v_k v_k \in E(D) \wedge k \geq i\}|$ . Several textbooks on algorithms will mention this space-saving technique for a DP optimization problem.

We now turn to the construction version of DP, which is the subject of the current paper. In this version we need to find not only the value of an optimal solution, but also some object that achieves this value. Many textbooks mentioning DP describe

the following scheme for the construction version: first solve the optimization version, and then retrace from the sink of the dag  $D$  back through nodes that gave rise to this optimal value. However, we know no examples of algorithms textbooks that both mention the space-saving technique and the scheme for the construction versions, and note that one cannot use both schemes simultaneously. In fact, the scheme for the construction version requires us to store solutions to all subproblems, in case this subproblem is hit by the retracing, thus requiring  $|V(D)|$  storage locations which is usually orders of magnitude higher than the  $Space_{opt}(D)$  required for the optimization version. Thus, we need a different scheme for saving space when doing construction versions of problems.

The only fast space efficient construction versions of a DP application that we have found in the literature are for alignment problems in computational biology. Notably, a 1975 CACM-paper by Hirschberg [10] addresses this issue for the problem known as 'longest common subsequence', strongly related to 'string alignment'. The construction version is in this case solved with the same asymptotic time and space complexity as the optimization version. This result is based on a property of the problem which says that it can be broken into two parts where one corresponds to viewing the strings in reverse order. By combining the solutions to these two problems one finds a 'mid-way' alignment point, and can subsequently recurse on two sub-problems whose two dags have *combined size half* of the original dag. This technique has become famous in the field of computational biology, and mentioned in textbooks, see e.g. [8], but is based on the problem-specific property mentioned above, and cannot be surmised from the dag  $D$ .

This explains why a discussion of space-efficient construction versions for DP is difficult to carry out in a general setting, precisely because problem-specific properties may be necessary to get the best results. In this paper we primarily discuss two DP case studies in the field of graph problems: DP on a path decomposition and DP on a tree decomposition. For a graph with small treewidth the DP on its associated tree decomposition will allow the solution of various otherwise intractable graph problems, see e.g. [3, 7]. Various classes of graphs have small treewidth, for example the control-flow graphs of goto-free C programs have treewidth at most 6 [14, 6, 9]. Experiments and applications show that this is also useful in a practical setting. The algorithm of Lauritzen et al. [12] to solve the probabilistic inference problem on probabilistic networks is the most commonly used algorithm for this problem and uses DP on a tree decomposition. Koster et al. [11] used DP on tree decompositions to solve instances of frequency assignment problems, and Alber et al. [2] use such methods for solving the vertex cover problem on planar graphs. We show how to solve the construction variants of these problems while using only a negligible (twice) amount of more memory than for the optimization version, with a logarithmic factor increase in running time. Table 1 compares our result to the trade-off between time and space achievable by the previously best-known results. The result quoted under pre-1998 simply stores all tables and retraces an optimal solution, which is in general clearly impractical since each table could be of size

	pre-1998	post-1998	Our result
Time	$O(n)$	$O(n^2)$	$O(n \log_p n)$
Space	$n$	$p \leq 2 \log n$	$2p$

Table 1: Space measures the maximum number of tables stored for the construction version of DP on a tree-decomposition with an  $n$ -node tree of a bounded treewidth graph, with  $p \leq 2 \log n$  the minimum number of tables needed for the optimization version, as shown in 1998

exponential or worse in the treewidth, although independent of  $n$ . The result quoted under post-1998 is based on the result of [4], first presented at SWAT'98, which showed that the optimization version could be solved using at most  $p \leq 2 \log n$  tables, and consists in simply repeating this optimization version once for each table. Since memory use in turn influences the wall-clock runtime of the programs, Space is the more important factor that we primarily focus on. Our algorithm uses memory equivalent to at most  $2p$  tables, i.e twice the optimal for the optimization version. Notice that the logarithmic factor  $\log_p n$  in the time use of our result grows smaller as  $p$  grows larger.

In the next section we consider an example of serial DP, namely DP on a path-decomposition of a graph. In section 3 we generalize to DP on tree-decompositions, a case of non-serial DP. In the last section we discuss how our results can be generalized further.

## 2 Serial DP on a Path Decomposition

We start our exposition by an example of performing dynamic programming on a graph of bounded pathwidth, and describe our improved method in detail to ease its implementation for a particular application. A path decomposition of a graph  $G = (V, E)$  is a sequence  $X_1, \dots, X_t$  of subsets of  $V$ , called *bags*, such that for all  $1 \leq i_1 < i_2 < i_3 \leq t$ ,  $X_{i_1} \cap X_{i_3} \subseteq X_{i_2}$ ; for all  $\{v, w\} \in E$ , there is an  $i$ ,  $1 \leq i \leq t$ ,  $v, w \in X_i$ , and  $\bigcup_{1 \leq i \leq t} X_i = V$ . The width of a path decomposition  $X_1, \dots, X_t$  is  $\max_{1 \leq i \leq t} |X_i| - 1$ , and the pathwidth of a graph is the minimum width of a path decomposition.

It is well known that many problems have a linear or polynomial time algorithm when restricted to graphs that have their pathwidth bounded by a constant, including many famous NP-complete graph problems. These algorithms use the DP paradigm. Going through the path decomposition from bag 1 to bag  $t$ , a table for the current bag is computed, using some 'local information', and the table for the preceding bag. The entries in a table for bag  $i$  generally express answers to subproblems for the graph induced by  $X_1 \cup \dots \cup X_i$ , with special attention to what happens with the nodes in  $X_i$  in the solution at hand. Let us mention that correctness of these

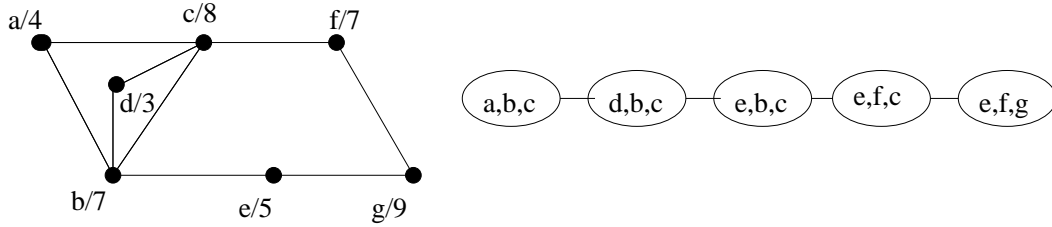


Figure 1: Example graph  $G$  with tree-decomposition

algorithms rely on the fact that any bag  $X_i$  is a separator of the graph, but the specific details of these algorithms are not of great importance to the exposition here.

We have a path decomposition  $X_1, X_2, \dots, X_t$  of an undirected graph  $G$  of pathwidth  $k$ , where  $t$  is linear in  $|V(G)|$ . For simplicity we assume that  $|X_i| = k + 1$  for each  $1 \leq i \leq t$ , and that the tables to be filled are  $T_1[1..p(k)], T_2[1..p(k)], \dots, T_t[1..p(k)]$ . Thus,  $T_i[j]$  should store the optimal value to a solution of the problem restricted to the graph induced by nodes  $X_1 \cup X_2 \cup \dots \cup X_i$ , where the subgraph on nodes  $X_i$  are restricted to behave in a specified fashion as indicated by the table index  $j$ . The size  $p(k)$  of the tables depends on the particular problem at hand, but for any problem which in general is NP-hard, it will be exponential in  $k$ . In the optimization version of the dynamic programming algorithm we keep only 2 tables in memory at any time, starting with tables  $T_1$  and  $T_2$ , then  $T_2$  and  $T_3$ , etc. In Figure 2 is a very simple example of a weighted graph  $G$  on 6 nodes and its path decomposition on 5 bags with 3 nodes in each bag, for which we want to solve the maximum weighted independent set problem: In the 2-dimensional array in Table 2 below each column represents a table associated with a bag  $X_i$  of the path decomposition. In each bag we have ordered the nodes it contains. The leftmost column indicates the 8 indices of each table, e.g. index 101 represents partial solutions where the first and third, but not the second, node in the bag should belong to the independent set. Thus, the 101 row has the entry  $-\infty$  whenever the first and third node are adjacent, such as for the bag  $efg$ , since an independent set cannot contain two neighboring nodes. Nodes have been ordered so that they maintain the property of being first/second/third in every bag, note that this is always possible for a path decomposition where every bag has the same size, but not always possible for a tree decomposition. We have filled the tables with values as would be done by the optimization version of dynamic programming, storing also for each value the index of the previous column that gave rise to this value. If several such indices give the same value we just picked one arbitrarily. After solving the optimization version (the forward direction), the standard algorithm for the construction variant would then trace these pointers from the optimal entry at index 110 in the last table, back to the first, hitting the entries indicated by the stars in those cells, giving the optimal solution  $\{a, d, e, f\}$  with weight 19. Note that the sequence of dependency pointers,  $p_1, p_2, \dots, p_t$  (100,

	abc	dbc	ebc	efc	efg	efg w/ pointer to abc
000	0	4/100	7/100	7/000	7/000	7/100
001	8	8/001	8/001	8/001	17/001	17/001
010	7	7/010	7/010	14/000	14/010	14/100
011	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$
100	4*	7/100*	12/100*	12/100	12/100	12/100
101	$-\infty$	$-\infty$	13/001	13/101	$-\infty$	$-\infty$
110	$-\infty$	$-\infty$	$-\infty$	19/100*	19/110*	19/100
111	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$

Table 2: To the left the contents of 5 tables for finding a Max weighted independent set on graph  $G$  from Figure 2, with pointers to the previous table, requiring all 5 tables in memory. The starred entries denote the optimal retraced path. The rightmost table constitutes the result of the first stage of the space-efficient version, which stores pointers to the first table and finds its optimal index 100.

100, 100, 110, 110 in the example) where  $T_t[p_t]$  contains an optimal value for the overall solution on input graph  $G$ , and the value in  $T_i[p_i]$  was computed based on  $T_{i-1}[p_{i-1}]$ , suffices to solve the construction variant. However, the backwards tracing as described here requires that all 5 tables, with pointers, are stored simultaneously. In effect, it constitutes the pre-1998 entry in Table 1. We now describe an improved construction version which is space efficient at the expense of a logarithmic increase in running time. We will find  $p_1, p_2, \dots, p_t$  while storing only 2 tables with pointers at any time, as follows: first find  $p_1$  and  $p_t$  by computing in the forwards direction while storing pointers to the table  $T_1$  only, i.e. computing  $T_i$  with its pointers to  $T_1$  based only on  $T_{i-1}$  and its pointers to  $T_1$ . The final table will then be as in the rightmost column in Table 2, and we find  $p_1 = 100$  and  $p_5 = 110$ .

Subsequently, we call subroutine FIND-INDEX(1,t), where FIND-INDEX(q,r) for  $1 \leq q < r \leq t$ , based on the knowledge of  $p_q$  and  $p_r$ , computes  $p_{q+(r-q)/2}$ , the dependency pointer for the table halfway between  $T_q$  and  $T_r$ . Since the only entry of table  $T_q$  needed to compute an optimal solution is the one with index  $p_q$ , and we do not need the actual value of an optimal solution, it will suffice to initialize  $T_q[p_q]$  to 0 and all other entries of  $T_q$  to  $-\infty$  (or  $+\infty$  for a minimization problem). We then perform the forward direction of dynamic programming in the standard manner from  $T_q$  up to the halfway table  $T_{q+(r-q)/2}$ , and from here on to  $T_r$  storing pointers to this halfway table.

In the array in Table 2 we have illustrated this procedure for the call FIND-INDEX(1,5) for the example, based on the knowledge that  $p_1 = 100$  and  $p_5 = 110$ . The result of this call is the value  $p_3 = 100$  which we find in  $T_5[p_5]$ . For this example the 2 calls FIND-INDEX(1,3) and FIND-INDEX(3,5) would subsequently compute the two remaining values  $p_2$  and  $p_4$ . In general, the call FIND-INDEX(q,r) takes time  $O(r - q)$  while storing 2 tables with pointers, and computes a single optimal

	abc	dbc	ebc	efc	efg
000	$-\infty$	0	3	3/000	3/000
001	$-\infty$	$-\infty$	$-\infty$	$-\infty$	12/000
010	$-\infty$	$-\infty$	$-\infty$	10/000	10/000
011	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$
100	0	3	8	8/100	8/100
101	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$
110	$-\infty$	$-\infty$	$-\infty$	15/100	15/100
111	$-\infty$	$-\infty$	$-\infty$	$-\infty$	$-\infty$

Table 3: Snapshot of tables after the second stage of space-efficient construction version of DP with the first table  $abc$  pre-initialized and pointers now stored to the halfway table  $ebc$ . This scheme requires only 2 tables in memory, namely any 2 consecutive tables.

pointer. A balanced divide-and-conquer strategy will therefore compute all pointers in time  $O(n \log_2 n)$  while storing 2 tables.

This technique works with many problems restricted to graphs with bounded path-width, for instance for the construction variants of problems that can be formulated in (extended) monadic second order logic [3], and the above discussion leads to:

**Theorem 1** *Let  $k$  be a constant, and let  $Q(S)$  be a monadic second order logic formula with  $S$  a free node or edge set variable. Each of the following problems can be solved in  $O(n \log n)$  time with  $O(1)$  additional memory for graphs  $G$ , given together with a path decomposition of width at most  $k$ : find a (node or edge) set  $S$  such that  $Q(S)$  holds in  $G$ ; find a set  $S$  such that  $|S|$  is minimum (maximum) over all sets such that  $Q(S)$  holds in  $G$ .*

Similar results can be obtained for other types of problems, e.g., for cases where nodes and/or edges have weights. The result can be strengthened however, as we will do in the next section.

### 3 Non-serial DP on a Tree Decomposition

The essence of the technique applied in the previous section, viewed in terms of the directed path  $X_1, X_2, \dots, X_t$  of bags, was bisection, i.e. we found a 'small and good' separator of this directed path (consisting of the table at the half-point). We thus managed to solve the construction version by breaking this problem in two halves recursively. In our next case study, DP on graphs of bounded treewidth, the situation is generalized to graphs with a tree structure, but the general idea remains

the same, find a small set of special nodes, such that after splitting the tree at these nodes we are left with small subtrees.

A tree decomposition of a graph  $G = (V, E)$  is a pair  $(T, X)$  with  $T = (I, F)$  a tree, and  $X = \{X_i \mid i \in I\}$  a family of subsets of  $V$ , such that for all nodes  $i_1, i_2, i_3 \in I$ , if  $i_2$  is on the path from  $i_1$  to  $i_3$  in  $T$ , then  $X_{i_1} \cap X_{i_3} \subseteq X_{i_2}$ ; for all  $\{v, w\} \in E$ , there is an  $i \in I$  with  $v, w \in X_i$ , and  $\bigcup_{i \in I} X_i = V$ . We call the sets  $X_i$  the *bags*. The *width* of  $(T, X)$  is  $\max_{i \in I} |X_i| - 1$ , and the *treewidth* of a graph  $G$  is the minimum width of a tree decomposition of  $G$ . Like pathwidth, treewidth has nice algorithmic properties, and allows many problems that are hard on general graphs to be solved in linear or polynomial time when restricted to graphs of bounded treewidth; see e.g., [3, 7, 11]. These algorithms are again usually of dynamic programming type and have the following form. A node of  $T$  is chosen as root. For each node  $i$ , we compute a table. These tables are computed in bottom up order: to compute a table, we use the information of the tables of the children, plus some ‘local information’ about the subgraph induced by bag  $X_i$ . The decision problem can be solved once the table of the root is known. For the construction problem, a corresponding solution can be constructed by going downwards from root to leaves using the information in the tables. We consider now one illustrative example.

Assume we have a tree decomposition  $(T, X)$  of a graph  $G$  of treewidth  $k$ . For an optimization version of DP, for example answering the question ‘What is the size of the largest independent set in  $G$ ?’, the information contained in the table at a child node of  $T$  is superfluous once the table of its parent has been updated. Since the size of tables is exponential in  $k$ , it is in practice very important to carefully reuse these memory locations in order to minimize time-consuming I/O to external memory. A simple linear-time algorithm will in a pre-processing step find a bottom-up traversal of  $T$  that minimizes the number of tables stored at any time during the dynamic programming. This number  $p$  lies between the pathwidth of  $T$  and twice the pathwidth of  $T$  plus one[4].

The construction variant of DP on tree decompositions, of the form ‘Find a largest independent set in  $G$ ’, can be solved in the standard manner, by first performing the ‘forward’ direction that finds an optimal value, and then tracing an optimal entry in the final table (the root) back through those entries in earlier tables that gave rise to it (down to the leaves). However, this requires all tables to be stored in memory, and is clearly impractical. Our current aim is to solve the construction variant as fast as possible under the practically oriented constraint that we store only an asymptotically optimal number of tables, *i.e.* linear in the pathwidth of  $T$ . We use the following definition to split a tree into several subtrees for the recursion.

**Definition 1** Let  $(T, r)$  be a tree  $T$  rooted at node  $r$  and let  $x$  be a node of  $T$ . *Splitting* at  $x$  creates two rooted subtrees  $(T_1, x), (T_2, r)$  such that  $T_1 \cup T_2 = T$  and  $T_1 \cap T_2 = x$ , *i.e.* with  $T_1$  the subtree of  $T$  rooted at  $x$  and  $T_2$  the subtree of  $T$  rooted at  $r$  having  $x$  as a leaf. When splitting at several nodes, simply split one node  $x$  at a time, in the subtree containing  $x$ .



Before describing our method for a tree  $T$ , let us remark that if applied to a path as in the previous section, it would first consider the halfway node  $x$  and find an optimum entry in the table at  $x$  by recording pointers to that table, before recursing on the two paths resulting from splitting at  $x$ . For a tree  $T$  we need to split at several nodes.

**Lemma 1** *Let  $(T, r)$  be a rooted tree on  $n$  nodes with each node having  $\leq c$  children. For any  $s \leq \sqrt{cn/2}$  we can find  $s$  special nodes such that splitting at them will give  $s + 1$  subtrees having at most  $cn/s$  nodes each.*

**Proof.** Let  $(T', r) = (T, r)$ . A simple bottom-up procedure on  $T'$  computes these  $s$  nodes. When reaching a node  $x$  such that the subtree of  $T'$  rooted at  $x$  has at least  $\lceil n/s \rceil - 1$  nodes, then mark  $x$  as special and remove from  $T'$  all children of  $x$ , in effect making  $x$  a leaf of  $T'$ . Continue until  $p$  special nodes have been found.

After splitting at these  $s$  special nodes, the subtree rooted at a special node  $x$  has a number of nodes between  $\lceil n/s \rceil - 1$  and  $1 + c(\lceil n/s \rceil - 2) \leq cn/s$ , where the upper bound appears when all  $c$  children have maximum-size subtrees without being special. The last subtree after splitting, rooted at  $r$ , will have at most  $n - s(\lceil n/s \rceil - 2) \leq 2s$  nodes, since we remove at least  $\lceil n/s \rceil - 2$  nodes from  $T'$  for each special node, and we note that for  $s \leq \sqrt{cn/2}$  we have  $2s \leq cn/s$  ■

The space-efficient construction version of DP on a tree-decomposition using a rooted tree  $(T, r)$  proceeds recursively, as follows:

First find the  $s$  special nodes described in Lemma 1. Then perform DP bottom-up on  $T$ . When reaching a special node  $x$ , start recording pointers to the table at  $x$ . When reaching the first ancestor node  $y$  of  $x$  that is special, save the table at  $y$  with its pointers to the table at  $x$ , and start recording pointers to the table at  $y$ . Note that a table could end up with pointers to several tables, as in Figure 3. Now retrace from an optimal entry in the table at the root  $r$  down to each special node, and find optimal entries in the table of each special node. For the recursion we split at the  $s$  special nodes and pre-initialize tables of the special nodes that are now leaves of a subtree, to 0 for an optimal entry and to  $\pm \infty$  for the others. Then recurse on each subtree separately.

**Theorem 2** *Let  $k$  be a constant and let  $Q(S)$  be a monadic second order logic formula with  $S$  a free node or edge set variable. Given a graph  $G$  on  $O(n)$  nodes with a tree decomposition  $(T, X)$  of width at most  $k$ . Let  $T$  have  $n$  nodes, pathwidth  $w$  and maximum degree some constant  $c + 1$ . Then for any  $s \leq \sqrt{cn/2}$  each of the following problems can be solved on  $G$  in  $O(n \log_s n)$  time using  $O(s + w)$  additional memory: find a (node or edge) set  $S$  such that  $Q(S)$  holds in  $G$ ; find a set  $S$  such that  $|S|$  is minimum (maximum) over all sets such that  $Q(S)$  holds in  $G$ .*

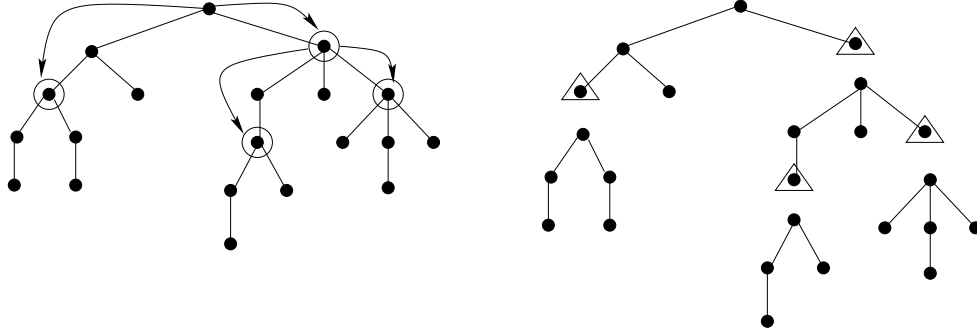


Figure 2: To the left a tree having  $n = 20$  with  $s = 4$  special nodes circled and arrows indicating stored pointers to tables after the first stage, used for retracing an optimal solution. To the right the 5 subtrees in the recursion after splitting, with triangles denoting tables having pre-initialized optimal entries.

**Proof.** By the results of [3] the optimization version of any such problem can be solved in  $O(n)$  time by a table-based DP method, and [4] gives a bottom-up traversal of  $T$  for such a DP method that stores at most  $2(w + 1)$  tables in memory. We use this traversal during the space-efficient construction version of DP described in this section, choosing  $s$  special nodes at each subtree. With  $s$  special nodes we need additional memory equivalent to at most  $s$  tables, to store the pointers to the tables at the special nodes. Note that in the recursion we work on each subtree separately, re-using memory. Thus we store at most  $s + 2(w + 1)$  tables, each of size depending only on the constant  $k$ , for  $O(s + w)$  additional memory total. Assuming the tree has  $n$  nodes, then by Lemma 1 each subtree in the recursion will have size at most  $cn/s$ . Thus we have at most  $\log_s n$  levels of recursion, for  $c$  constant. At each level of recursion the number of subtrees increase by at most a multiplicative factor  $s + 1$ , and the sum of nodes in all subtrees at one level by an additive factor bounded by the number of subtrees. Thus we have at most  $O(n)$  subtrees and  $O(n)$  nodes total at any level. The time for each recursive level is  $O(n)$  and the total running time is therefore  $O(n \log_s n)$ . ■

**Corollary 2** *Setting  $s$  equal to the number of tables  $p \leq 2 \log n$  needed in memory during the optimization version on  $T$ , we solve the construction version in time  $O(n \log_p n)$ , using less than twice as much memory as for the optimization version.*

The factor two for the memory derives from the need to store the indices to tables at special nodes, so in fact the number of tables stored is less than doubled, but some tables store several pieces of information instead of just one. This corollary is nice since when we need much memory for the optimization/decision version, due to the tree in the tree-decomposition having high pathwidth, we get a smaller extra factor  $\log_p n$  in the running time of the construction version.

## 4 Generalizing from the Case Studies

Let us now look at the general case of DP. Described intuitively, the construction version of DP is usually solved by first solving the optimization version by moving in a forward direction in the associated DP dag  $D$ , and then retracing from the sink of the dag  $D$  back through nodes that gave rise to the optimal value. For the case of serial DP this retracing chooses a single optimal subproblem in each step, and the nodes in the 'optimal subgraph' form a path.

In the path decomposition example, there would be a node of the dag  $D$  for each entry  $T_i[j]$  of a table, with an arc from  $T_i[j]$  to  $T_{i+1}[j']$  for some  $j' > j$  if the entry at  $j'$  was computed by an optimization over a range of entries that included  $j$ . In addition we would have a sink node  $t$  with incoming arcs from each node associated with the last table. We thus have a case of serial DP and the optimal subgraph that we retrace from the sink is a path. The dag  $D$  is itself not a path, but we still managed to break  $D$  into two parts by use of the path decomposition which imposes a path structure on  $D$ . Our technique for achieving space usage of 2 tables at the expense of an extra logarithmic factor in the runtime relied heavily on this path structure. In the tree decomposition example, we had a case of non-serial DP, where the nodes hit in the 'optimal subgraph' during retracing will form a tree. Here, we managed to break  $D$  into parts by help of the tree-structure imposed on it by a tree decomposition, and our space-efficient technique relied on this tree-structure.

What can we learn in general from the two case studies? The core of the general technique is to find a small and good separator of the dag  $D$ , and break the problem into the parts that result from splitting at the separator. The path decomposition (and tree decomposition)  $(T, X)$  of  $G$  was computed from the graph  $G$ , and the resulting DP dag  $D_{(T,X)}$  was then defined, based on the problem at hand and on  $(T, X)$ . The clue to our space-saving techniques was that  $D_{(T,X)}$  inherited the path (or tree) structure. In the general DP case we are handed the dag  $D$  without recourse to a path or tree decomposition. How then to apply the same technique of imposing a path (or tree) structure on the dag  $D$ ?

The right theoretical tool here is the concept of strong directed treewidth. Strong treewidth, as defined by Seese in [13], is related to a strong tree decomposition. These differ from a tree decomposition in that each node appears in exactly one bag (the bags are thus a partitioning of the graph nodes), and edges of the graph are now allowed to go between nodes in adjacent bags, and not necessarily in the same bag. Since our dag is directed we do not allow the bag containing  $t$  to be the child of the bag containing  $s$  if we have an edge from node  $s$  to  $t$ , thus no edges point downwards. As usual, the goal is to minimize the size of the largest bag. With this definition, the tree structure naturally induced by  $T$  on the dag  $D_{(T,X)}$  is a strong tree-decomposition of  $D_{(T,X)}$ . Thus, to generalize our technique we could use a good strong directed tree-decomposition of the dag  $D$ . However, as the dag  $D$  is usually too large to be kept in memory, and no polynomial time algorithm is

known for computing an optimal strong directed tree-decomposition of a digraph (we conjecture this is NP-hard), this is only of theoretical interest.

The practical approach to finding fast construction variants must instead rely on problem-specific properties. Here it is helpful to note that the dag  $D$  for a DP algorithm is not some arbitrary dag, but arises from some fairly clear structural aspects of the problem at hand, by defining the value of an optimal solution recursively in terms of the optimal solutions to subproblems. This (simple) recursive definition will also define the structure of the dag  $D$ , and a starting point is to look for 'separator theorems' like our splitting Lemma 1 for graphs with this structure. Such separator theorems are well-known for various classes of graphs, like planar graphs, and in particular for most graph classes with a recursive structural definition. On a case-by-case basis one can then, depending on the separator theorems available for the particular dag, develop fast and space-efficient construction algorithms similar to the ones given here.

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